

LLOYD'S

LLOYD'S OF LONDON

SUPPLEMENT 4

APPLICATION FOR
LAWYERS PROFESSIONAL LIABILITY INSURANCE "WITH CERTAIN UNDERWRITERS AT LLOYDS"
CLIENT INTERESTS SUPPLEMENT

NAME OF APPLICANT: _____

Please provide details for any Entity in which the Applicant or any Lawyer practicing Law with the Applicant holds a Client interest defined as:

- A. an Equity interest or option to purchase Equity and/or
- B. a position as Director/Officer/Partner/Employee and/or
- C. any Entity which has produced over 10% of the Applicant's total Annual Gross Billings at any time over the past Five years.

No details are required for Positions held with Charitable Organizations connected with Pro-Bono services

Name of Entity: _____

1. A. Equity Interest Yes No If yes, please indicate: _____

Total Market value of Equity/Options \$ _____

% Interest if more than 1% _____

B. Outside Position Yes No

Name(s) of Lawyers with Position in Entity and what Position held:

C. More than 10% of Applicants Total Annual Gross Billings in the last Five Yes No
Years?

If yes, please indicate the current % of Billings _____ %

Highest % any one year _____ in 20 _____

2. A. Industry/Type of Business: _____

B. Please describe the Services rendered: _____

C. Has the Applicant Firm entered into any agreement to receive compensation
for Services rendered in the form of an Equity interest or any option to purchase Equity?

Yes No

D. Has the Applicant performed any Services for this Client in relation to the
preparation of any disclosure or offering documents for Investors and/or
State or Federal Regulators?

Yes No

If yes, what steps have been taken to avoid an actual or alleged conflict of interest?

AUTHORIZED SIGNATURE OF APPLICANT

TITLE

Date